

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Wilken et al.

Serial No.: Not yet assigned

For: PROCESS FOR PREPARING CINNAMIC ACIDS
AND ALKYL ESTERS THEREOF

Filing Date: Concurrently herewith

Examiner: Not yet assigned

Art Unit: Not yet assigned

Confirmation No.: Not yet assigned

Customer No.: 000128

Attorney Docket: H26983

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

In accordance with applicants' duty of disclosure under 37 C.F.R. §1.56, please find attached hereto form PTO-1449 listing information which may be material to the patentability of this application, filed concurrently herewith. This Information Disclosure Statement is being filed:

XXX Within three (3) months of the filing date of the national application;

_____ Within three (3) months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application;

_____ Before the mailing date of a first Office Action on the merits;

_____ After the filing date or date of first Office Action, but before the mailing date of a final action under 37 C.F.R. §1.113, provided that this occurs prior to the issuance of a Notice of Allowance and provided that this I.D.S. is accompanied by either a certification as specified in 37 C.F.R. §1.97(e) or the fee set forth in 37 C.F.R. §1.17(p);

After the filing date or date of first Office Action, but before the mailing date of a Notice of Allowance under 37 C.F.R. §1.311, provided that this occurs prior to the final action and provided that this I.D.S. is accompanied by either a certification as specified in 37 C.F.R. §1.97(e) or the fee set forth in 37 C.F.R. §1.17(p);

After the mailing date of a final action under 37 C.F.R. §1.113, provided that this occurs prior to the issuance of a Notice of Allowance and provided that this I.D.S. is accompanied by either a certification as specified in 37 C.F.R. §1.97(e), a petition requesting consideration of the I.D.S., and the petition fee set forth in 37 C.F.R. §1.17(i)(1); and

After the mailing date of a Notice of Allowance under 37 C.F.R. §1.311, provided that this occurs prior to the issuance of a final action and provided that this I.D.S. is accompanied by either a certification as specified in 37 C.F.R. §1.97(e), a petition requesting consideration of the I.D.S., and the petition fee set forth in 37 C.F.R. §1.17(i)(1).

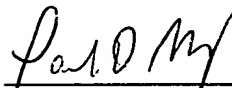
Copies of the U.S. Patent references cited in the attached PTO-1449 are not enclosed, pursuant to the waiving of the requirement of 37 CFR 1.98 (a)(2)(i). However, copies of the articles and non-U.S. Patent references cited therein are enclosed herewith.

It should be understood that attention has been called to the references that have been deemed to be pertinent to the claimed present invention. In concluding what was pertinent, the criteria employed was considered most appropriate in light of the invention shown in the present application. However, the Examiner or others may deem some other criteria to be just as appropriate or more appropriate. Therefore, the Examiner is respectfully urged to review the listed references and to make the usual careful independent search for other prior art that may be pertinent.

Respectfully submitted,

April 14, 2004

Date



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FORM PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary)	Docket Number (Optional)	Application Number
	H26983	Not yet assigned
	Applicant	
	Wilken et al.	
Filing Date	Group Art Unit	
Concurrently herewith	Not yet assigned	

U. S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6,376,538	4-2002	Adams et al.	514	466	
	6,306,840	10-2001	Adams et al.	514	109	

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
	WO 01/92200	12-2001	PCT			X	
	WO 01/92263	12-2001	PCT			X	
	WO 00/27817	5-2000	PCT			X	
	WO 00/27827	5-2000	PCT			X	

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

	Feuerstein et al., " <i>Efficient Heck Vinylation of Aryl Halides Catalyzed by a New Air-Stable Palladium-Tetrphosphine Complex</i> ", J. Org. Chem 2001, vol. 66, pg. 5923-5925.
	Ley et al., " <i>Polyurea-encapsulated Palladium(II) Acetate: A Robust and Recyclable Catalyst for Use in Conventional and Supercritical Media</i> ", ChemComm (www.rsc.org/chemcomm) The Royal society of Chemistry 2002.
	Aizikovitch et al., " <i>Synthesis of Fluorine-Substituted Cinnamic Acids by Cross-Coupling of Fluorobenzenes with Acrylic Acid in the Presence of Palladium Catalysts</i> ", 1997 MAHK...
EXAMINER	DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP §609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

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FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

	Buchmeiser et al., " <i>Bis(pyrimidine)-based Palladium Catalysts: Synthesis, X-ray Structure and Applications in Heck-, Suzuki-, Sonogashira-Hagihara Couplings and Amination Reactions</i> ", Elsevier Science B.V., 2001.
	Dubuffet et al., " <i>An Efficient Large Scale Synthesis of Coumarins by a Dealkylative Boron-Mediated Ring Closure of 3-(Orthomethoxyaryl)Propenoic Esters</i> ", Marcel Dekker, Inc., 1999.
	Ed. by Diederich et al. " <i>Metal-Catalyzed Cross-Coupling Reactions</i> ", WILEY-VCH Verlag GmbH, 1998.
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